

FORM PTO-1390
(REV 5-93)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.
IID-0200

DATE: December 11, 2001

U.S. APPLN. NO.
(IF KNOWN, SEE 37 C.F.R. 1.5)

10/009513

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/JP00/03180INTERNATIONAL FILING DATE
May 18, 2000PRIORITY DATE CLAIMED
None

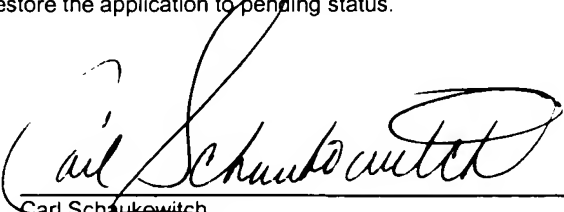
TITLE OF INVENTION: OPTICAL ELEMENT

APPLICANT(S) FOR DO/EO/US: Sachio MURAI; Naoki UCHIDA; Takehiro SHIMIZU; Tomonori KUBO; Satoshi YAMADA

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11 - 16 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
Drawings (1 sheet)
International Search Report
Front Page of International Bulletin No. WO 01/88048 A1

| | | | | | |
|---|--------------|---|------------|--|-----------|
| U.S. APPLICATION (IF KNOWN) SEE 37 C.F.R. 1.50 <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">10/009513</div> | | INTERNATIONAL APPLICATION NO. PCT/JP00/03180 | | ATTORNEY DOCKET NO. IID-0200 DATE: December 11, 2001 | |
| 17. <input type="checkbox"/> The following fees are submitted: Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]: Search Report has been prepared by the EPO or JPO.....\$890.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482).....\$710.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO [37 C.F.R. 1.445(a)(2)].....\$740.00 Neither international preliminary examination fee (37 C.F.R. 1.482) or international search fee [37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,040.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00 | | | | CALCULATIONS PTO USE ONLY | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ 890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(e)]. | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 40 - 20 = | 20 | X \$ 18.00 | \$ 360.00 | |
| Independent Claims | 6 - 3 = | 3 | X \$ 84.00 | \$ 252.00 | |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 | \$ 280.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1782.00 | |
| Reduction by one-half for filing by small entity, if applicable. A small entity status is claimed under 37 C.F.R. §§1.9 and 1.27. (Note 37 C.F.R. 1.9, 1.27, 1.28). | | | | \$ 891.00 | |
| SUBTOTAL = | | | | \$ 891.00 | |
| Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)]. | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 891.00 | |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | (see PTO-1595) | |
| TOTAL FEES = | | | | \$ 891.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | Charged | \$ 891.00 |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 18-0013 in the amount of \$891.00 to cover the above fee. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer N. 23353 Radner, Fishman & Grauer PLLC 1233 20th Street, N.W., Suite 501 Washington, D.C. 20036 Tel: (202) 995-3750 Fax: (202) 995-3751 | | | | | |
| | | | |  Carl Schaubkowitz Reg. No. 29,211 | |